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(54) Title: COMPOSITIONS AND METHODS FOR	TDF	TING VIDAL INSECTIONS
57) Abstract	IND	THE VICAL INFECTIONS
Disclosed herein are antiviral agents, pharmaceur	tical fo	nulations comprising effective amounts of these agents and meth ruses. The agents are analogs, isomers, homologs, derivatives and

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# COMPOSITIONS AND METHODS FOR TREATING VIRAL INFECTIONS BACKGROUND OF THE INVENTION

This application is a continuation-in-part of copending U.S. patent application Serial No. 417,163 filed October 4, 1989 15 which is a continuation-in-part of U.S. Patent Application Serial No. 324,177, filed March 16, 1989.

This invention relates to antiviral compounds, compositions and pharmaceutical formulations comprising effective amounts of these compounds and methods for treating or preventing infections caused by viruses in mammals.

The ability of viruses to invade cells and parasitize cellular biochemical mechanisms for viral replication restricts the potential means and methods that can be used to selectively inhibit such replication. Very few antiviral agents which are non-toxic for non-infected cells are known. Furthermore, most antiviral agents are of limited effectiveness.

Retroviruses are particularly elusive targets for antiviral agents precisely because these viruses differ radically in their mode of replication from the DNA-containing 30 · and other RNA-containing viruses. Retroviruses become integrated into the cellular genome and their replication is probably mediated by cellular enzymes. This severely restricts the possibilities of eliminating the virus from the host cell. Only a few compounds are known to possess relatively selective (i.e. relatively noncytotoxic) anti-retroviral activity. nucleoside analog 3'-azido-3'-dideoxythymidine also commonly

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known as azidothymidine (hereinafter referred to as AZT) and other nucleoside analogs (such as the dideoxycytidine analog of cytosine) owe their relative selectivity for virally-infected cells to their ability to inhibit retroviral functions (i.e., the activity of reverse transcriptase enzyme) more efficiently than they inhibit host cell functions (i.e., the activity of DNA polymerase). The use of such nucleoside analogs is limited due to their narrow spectrum of activity and their toxic side-effects when administered systemically to a host organism over long periods of time. Furthermore, long-term use of these drugs increases the likelihood of development of resistant mutants.

A member of the retroviral family, the Human Immunodeficiency Virus (HIV), is currently being spread in epidemic proportions in the U.S. and around the world. HIV is now believed to be the causative agent of Acquired Immune Deficiency Syndrome (AIDS). Two different serotypes of the virus have been identified to date: HIV-1 and HIV-2. Current estimates are that approximately 1.5 million people have been infected with HIV at this time in the United States alone. It is believed that the vast majority of individuals infected with the virus eventually will develop AIDS and are likely to succumb to opportunistic infections and/or malignancies.

The drug currently used against HIV infection is AZT. However, because of the toxicity of AZT and because its effectiveness is also otherwise limited, alternative antiviral agents (or at least agents of relatively low toxicity that could be used in conjunction with AZT therapy) are needed. Moreover, because of its toxicity, AZT is inappropriate for use prophylactically and therefore less toxic alternatives suitable for prophylactic use are desired. In addition, AZT-resistant strains of HIV have been recently reported.

Copending U.S. Patent Application Serial No. 082,700 of D. Lavie et al. filed August 7, 1987, discloses the antiviral activity of two aromatic polycyclic dione compounds: hypericin (Hy) and pseudohypericin (Ps).

Copending U.S. Patent Application Serial No. 084,008 of

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D. Lavie et al. filed August 10, 1987 expands upon the disclosure of U.S. Application Serial No. 82,700, focusing on the use of By and Ps as effective anti-retroviral agents.

Copending U.S. Patent Application Serial No. 172,064 filed March 23, 1988 of D. Meruelo et al. discloses anti-retroviral compositions comprising effective amounts of Hy and Ps in combination with nucleoside analogs such as AZT and methods for treating retroviral infections.

In addition, copending U.S. patent application of Daniel Meruelo and Gad Lavie Serial No. 299,971, filed January 19, 1989 entitled Blood Purification System discloses compositions and methods for inactivating viruses and retroviruses present in blood, other body fluids and, more generally biological fluids, and articles used in the practice of such methods. The compositions comprised hypericin, pseudohypericin, isomers, analogs, homologs, and derivatives of aromatic polycyclic diones and mixtures of these compounds, all of which are also used in the present invention.

The present invention is directed to use of a variety of compounds structurally related to hypericin as therapeutic (or prophylactic) antiviral and antiretroviral agents in vivo.

Therefore, it is an object of the present invention to provide novel therapeutic agents for the treatment (or prevention) of viral infections. (Henceforth, the terms "virus" and "viral" will include "retrovirus" and "retroviral" unless explicitly stated otherwise.)

Another object of the present invention is to provide methods for treating mammals suffering from (or potentially exposed to) infections caused by viruses, especially HIV.

A further object of the present invention is to provide pharmaceutical formulations for treating individuals suffering from (or potentially exposed to) viral infections.

These and other objects of the present invention will be apparent to those of ordinary skill in the art in light of the present description accompanying drawings and appended claims.

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## SUMMARY OF THE INVENTION

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The present inventors have discovered that certain compounds are effective for treating or preventing viral infections in mammals. Furthermore, the present inventors have devised compositions (comprising such compounds) suitable for therapeutic or prophylactic use in vivo. These compounds are related to hypericin and comprise monomers or dimers of anthracenes, anthraquinones and anthrones, as well as homologs, isomers, derivatives, salts and analogs of any of the foregoing and mixtures thereof. (Hereafter, these compounds will be referred to as "antiviral anthraquinone- or anthracene- or anthrone-based compounds" abbreviated as "AAB".) In addition, within the scope of the present invention are various aromatic polycyclic dione compounds as well as homologs, isomers, derivatives, salts and analogs of such polycyclic compounds and mixtures thereof.

Hereafter, all the compounds of the present invention including those which are not "AAB compounds" will be referred to collectively as "polycyclic antiviral compounds" or "PAC". In this context, "polycyclic" means having at least three rings.

In one aspect, the present invention comprises a method for preventing or treating a viral infection in a mammal comprising administering to such a mammal an effective amount of a compound selected from the group consisting of PAC compounds and mixtures thereof wherein said PAC compounds or mixtures are used as the sole antivirally active ingredients or in conjunction with other antiviral agents (or in conjunction with stabilizers and/or potentiators of PAC compounds and/or other antiviral agents).

Another aspect of the present invention comprises pharmaceutical compositions and formulations for treating or preventing viral infections in mammals, said compositions and formulations comprising an effective amount of an antiviral agent selected from the group consisting of PAC compounds and mixtures thereof and a pharmaceutically acceptable carrier or

diluent.

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## DETAILED DESCRIPTION OF THE INVENTION

All patents, patent applications and literature references referred to in this specification are hereby incorporated by reference in their entirety. However, the meaning specifically ascribed herein to defined terms shall prevail (in case of discrepancy with definitions in the prior patent applications incorporated by reference herein).

The present inventors have discovered that PAC compounds are useful for the treatment (or prevention) of infections caused by viruses.

The structure of the AAB compounds and many PAC compounds falls within the general Formula I

$$\begin{array}{c|c}
 & A & B & C \\
\hline
 & J & & & \\
 & I & & & \\
 & H & G & F
\end{array}$$

wherein: n is an integer selected from 1 and 2;

each of A, C, D, E, F, H, I, J is independently selected from the group consisting of hydrogen, hydroxy, lower (C<sub>1</sub> - C<sub>4</sub>) alkyl, aryl, arylalkyl, arylamino, lower alkenyl, alkoxy, hydroxyalkyl, halogen, carboxy, acyl (aromatic of aliphatic), amino, acyloxy, alkoxycarbohyl, aryloxycarbonyl (each of which may be substituted or unsubstituted), and a dimer-forming bond;

each of B and G are independently selected from the group consisting of (a) oxygen forming a keto group with the ring carbon to which the oxygen is appended; (b) two hydrogen atoms; (c) one hydrogen atom and one peroxy group; (d) aryl; (e) alkenylcarbonylalkyl; (f) alkenyloxycarbonylalkyl; (g) cyanoalkenyl; (h) arylalkenyl; (i) lower alkyl; (j) alkenyl; (k) acyl; each of which may be substituted or unsubstituted; and (l) a double or single dimer-forming bond;

wherein one or more of A and B, B and C, A and J, C and D, D and E, E and F, F and G, G and H, H and I, and I and J can be combined to form aromatic, alicyclic or heterocyclic

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rings having 5-7 carbon atoms, said rings optionally being further substituted;

wherein the three rings in said formula are aromatic except that the particular bonds formed by one or more of the ring carbon atoms adjacent to A, B, C, H, G or F can be saturated;

provided that, when n=2, at least one of H, G and F or at least one of A, B and C is a bond and either or both of (i) D and E and (ii) J and I optionally form aromatic or alicyclic or heterocyclic rings having 5-7 atoms with the adjacent carbon atoms.

Several of the compounds encompassed by the above formula can be considered monomers or dimers of substituted or unsubstituted anthracenes, anthraquinones, or anthrones.

For example, hypericin and substituted hypericins (such as hypericin hexaacetate) can be considered as dimers of anthraquinone (and substituted anthraquinones) with all the intermediate rings fused (i.e. wherein both H and F are single, dimer-forming, bonds and simultaneously G is a double, dimerforming, bond). See compounds 7-10 (Series C) in Example 2 as well as compounds XI, XIV, XV, XVII.

For example, compound XX in Example 2 described in Brockmann in <u>Tetrahedron Letters</u>, <u>infra</u>, is a dimer of 1, 3, 8 trihydroxy-6-hydroxyethyl-9 anthrone wherein E G and F are all bonds; compound XXII is a dimer of 1, 3, 8 trihydroxy-6-methyl-9-anthrone wherein E has formed an extra ring with the corresponding side-chain of the second anthrone monomer.

Also within the definition of the PAC compounds are isomers, homologs, analogs, derivatives and salts of the compounds of Formula I.

"Homologs" shall mean compounds with structural formulas that differ from the compounds of Formula I (or from another PAC compound) by one or more carbon atoms and one or more hydrogen atoms or pairs of hydrogen atoms (see by way of non-limiting example, compounds XV and XVI of Example 2 below; see also the three pairs of compounds in the table of compounds synthesized according to U.S. Patent No. 2,707,704 of Brockmann

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et al. issued May 3, 1955 of Example 2 below and visualize their homologs wherein one or more of the R groups will have been replaced by  $C_2-C_4$  alkyl groups; and compare the structure of hypericin with that of protohypericin in Example 2 (Series C) below, etc.).

"Isomers" shall mean compounds having the same molecular formula as the compounds of Formula I (or another PAC compound) and shall include, without limitation, structural isomers, enantiomers, position isomers, optical isomers and stereoisomers (e.g. cis and trans, + and -, d and 1) (see, by way of non-limiting example, compound 17 in Example 2 below of Banks et al. infra and its isomer wherein, e.g. the hydrogen atoms in the center would be oriented both below or above the plane of the paper and compound 25 in Example 2 below of Weiss, U. et al., infra which has several asymmetric carbon atoms and its various optical isomers).

"Analogs" shall include polycyclic aromatic compounds having the same activity as Hy and Ps (e.g., compounds referenced to Weiss, U. et al. <u>infra</u>, and compounds selected among compounds 1-36 of Example 1).

"Derivatives" shall include compounds bearing a strong structural similarity to a compound of Formula I or to another PAC compound but having one or more substitute groups in one or more positions (see, e.g. compounds 7 and 9 of Banks et al. in Example 2; benzoic acid derivatives of the XIX compound of Brockmann, et al., infra in Example 2 (series A) below and hydroxylated, esterified, alkyl-substituted and otherwise substituted derivatives of the compounds specifically disclosed herein). A non-limiting list of the compounds used in the present invention is set forth in Examples 1 and 2 below.

Salts (of the above compounds) soluble in aqueous media and physiologically acceptable are particularly preferred. "Salts" shall mean both complex salts (such as compound 26 of Weiss et al. <u>infra</u> of Example 2 below) and ionic salts.

The AAB dimer compounds can be synthesized using for example one of the synthetic schemes set forth below:

(Scheme I)

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This scheme also applies when the starting material is an anthracene or an anthrone.

The protecting/deprotecting step in Scheme I can be used or not depending on the starting material and the desired product (e.g. if the starting material is a fully alkoxylated emodin derivative such as compound 1 of the Brockmann patent, infra, and the end product is compound 7 of the same reference then no protection would be necessary). The various substitutes of the ultimate dimer can thus be appended either on the starting tricyclic (or other) material or can be constricted by modification of the dimeric structure itself, depending on the reactivity of each particular site, as is well-known in the art.

A third general reaction scheme is the following: (Scheme III)

dimethoxy-benzoic acid

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The starting monomers can be synthesized according to well-known techniques or can be purchased from commercial sources. For example, anthrone can be synthesized as described in Org. Syn. Coll. 1: 60, 1941, or purchased from Aldrich Chemical Co., Milwaukee, WI (Cat # A9,120-5). Anthrone derivatives can be synthesized from anthrone as described in Anal. Biochem. 68:332, 1975.

Anthracene can be synthesized according to the method described in E. Clar, Chem. Ber. 72: 1645, 1957 and E. Clar, Polycyclic Diones, Academic Press, N.Y. 1964 or purchased from Aldrich Chemical Co, Cat. # A8, 922-0. Anthracene derivatives (such as anthracene dione) can be synthesized from phthalic acid and benzene in AlCl<sub>3</sub> via Friedel-Crafts reaction as described in Ind. Eng. Chem. 18: 1327, 1926.

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In addition, PAC compounds can be advantageously combined (as therapeutic or prophylactic agents) with nucleoside analogs such as AZT when treating retroviral infection. That is, one or more PAC compounds can be administered in conjunction with one or more of AZT or another nucleoside analog. "In conjunction" includes co-administration, contemporaneous administration of different preparations (each preparation containing one type of active ingredient or ingredients -- nucleoside(s) or PAC compound(s) -- or alternating administration of nucleoside therapy and PAC compound therapy. Advantages of such conjunctive therapy include at least additive enhanced therapeutic (or prophylactic) effect -nucleoside therapy does not interfere with PAC therapy -- and diminished risk of undersirable side-effects of either active ingredient. Preferred conjunctive therapy includes use of AZT and hypericin.

AZT is currently being employed to treat patients with AIDS and/or ARC (AIDS Related Complex, a prodrome of AIDS). AZT has been shown to improve immunologic functions, to reverse, at least partially, HIV-induced neurological disfunction in some patients and to improve certain other clinical abnormalities associated with AIDS. However, a dose-dependent suppression of bone marrow, resulting in anemia and leukopenia (an abnormally low number of leukocytes in the circulating blood) has been found to occur with its use. This has limited the effectiveness of AZT for the treatment of AIDS. Because of the displayed additive therapeutic or prophylactic effect of AAB and other PAC compounds administered in conjunction with AZT it is anticipated that it will be possible to use smaller doses of AZT for antiviral therapy when AZT is used in combination with the present compounds (most notably in AIDS therapy) which will decrease or eliminate the undersirable side-effects of AZT.

The combined effect of AZT and the compounds of the present invention is shown in Example 1 below. As illustrated in Example 1, the activity of AZT does not interfere with that of PAC compounds.

Accordingly, the present invention includes the use of effective amounts of PAC compounds (as disclosed below) in combination with AZT or other nucleoside analogs for treating viral (especially retrovival) infections. Non-limiting examples of nucleoside analogs useful in the present invention are 2', 3'-dideoxycytidine, 2', 3'-dideoxyadenosine, 2', 3'-dideoxythymidine and preferably azidothymidine (AZT, commercially available from Burroughs Welcome Research Triangle Park, NC). 2', 3'-dideoxycytidine and 2', 3'-dideoxyadenosine are commercially available from Calbiochem-Behring (San Diego, CA); 2', 3'-dideoxythymidine is commercially available from Pharmacia Fine Chemicals (Piscataway, NJ).

The PAC compounds of the present invention (even when used by themselves, i.e., not in conjunction with nucleoside analogs) have a wide spectrum of effectiveness in inhibiting viruses and are especially effective in inhibiting enveloped viruses. Enveloped viruses are defined herein as viruses (both RNA- and DNA-containing) having a lipid-containing membrane. The lipid is derived from the host cell whereas the membrane proteins and glycoproteins are virally encoded. Non-limiting examples of the enveloped viruses which are inhibited by the compounds of the present invention are cytomegalovirus, Herpes Simplex Virus (HSV), vaccinia virus, influenza virus, Vesicular Stomatitis Virus (VSV), Hepatitis B virus and retroviruses.

Retroviruses are viruses containing an RNA genome and RNA-dependent DNA polymerase (reverse transcriptase) enzymatic activity. All retroviruses have common morphological, biochemical and physical properties that justify their inclusion into a single virus family. These parameters are summarized in Table I below (RNA Tumor Viruses, Weiss, R. et al. eds., p.28, Cold Spring Harbor Press, New York, 1984).

Most preferred among the AAB compounds are hypericin, pseudohypericin, hypericin hexaacetate, and protohypericin, with hypericin being the most active. For prophylactic administration the AAB compounds having low toxicity (by comparison to AZT) are preferred, with hypericin, pseudohypericin and protohypericin being again most preferred. In

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general, a compound is considered to have low toxicity if it has a therapeutic index greater than 5, i.e. it is effective at doses five times smaller than the dose at which it causes severe toxicity.

TABLE I

GENERAL PHYSICAL PROPERTIES OF KNOWN RETROVIRUSES

10	Nucleic acid	linear positive-sense single-stranded RNA (60S-70S) composed of identical subunits (30S-35S); 5' structure (m <sup>7</sup> G <sup>5</sup> ppp <sup>5</sup> NmpNp); polyadenylated 3' end; repeated sequences at 3' and 5' ends; tRNA base-paired to genome
15		complex
	Protein	above 60% by weight; gag, internal structural proteins; pol, reverse transcriptase; env, envelope proteins
20	Lipid	about 35% by weight; derived from cell membrane
	Carbohydrate	about 4% by weight; associated with envelope proteins
25	Physicochemical properties	density 1.16-1.18 g/ml in sucrose, 1.16-1.21 g/ml in cesium chloride; sensitive to lipid solvents, detergents, and heat inactivation
		(56°C, 30 min); highly resistant to UV- and X-irradiation
30	Morphology	spherical enveloped virions (80-120-nm diameter), variable surface projections (8-nm diameter), icosahedral capsid containing a
30		ribonucleoprotein complex with a core shell (nucleoid)

In addition, the genome of HIV encodes at least 5 other proteins in addition to those normally found in other retroviruses. These additional genes are designated TAT, ART/TRS, 3'-ORF, SOR and R. HTLV I also contains an additional gene, the pX gene, which may encode up to four proteins (Yarchoan, R. et al., New England J. Med. 316: 557-564, 1987; Seiki et al., Science 228: 1532-1534, 1985).

All retroviruses have similar overall chemical compositions. In general, they comprise about 60-70% protein, 30-40% lipid, 2-4% carbohydrate, and about 1% RNA. Retroviruses are enveloped. The envelope of retroviral particles is derived from the cell-surface membrane, and most, if not all, of the lipids in the retroviral particles are located in the unit-

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membrane envelope of the virion. Non-limiting examples of retroviruses include Friend Leukemia Virus (FV), Radiation Leukemia Virus (RadLV), Bovine Leukemia Virus, Feline Leukemia virus, Avian Myeloblastosis Virus, and the human T-cell lymphotropic virus family (HTLV I, II, III and IV; HTLV III is also known as Human Immunodeficiency Virus or HIV in turn encompassing two serotypes designated as HIV-1 and HIV-2). HTLV I is believed to cause adult T-cell leukemia and certain neurological illnesses and HTLV II is believed responsible for hairy cell leukemia in humans. HTLV IV is related to simian immunodeficiency virus and has been found in African natives suffering from AIDS; its relationship to HTLV III is currently under investigation.

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The present invention provides a method for treating mammals suffering from infections caused by viruses (or retroviruses) comprising administering to mammals in need of such treatment a therapeutically (or prophylactically) effective amount of a compound selected from the group consisting of PAC compounds and mixtures thereof.

Effective inhibition of a given virus may be achieved by using a single one of such compounds, or a combination of two or more of such compounds. Naturally, it is desirable to employ the smallest possible quantity of the PAC compound or compounds that will provide a significant inhibition of the target virus. What constitutes "significant inhibition" varies from virus to virus. For example, significant inhibition of Fried Leukemia Virus-induced splenomegaly is at least 15% (inhibition being calculated according to the formula given in Example 2, below). Significant inhibition of HIV is defined as at least one log reduction in the infectivity of free virus preparations. In addition, one, two or more of the compounds can be employed together. Moreover, the PAC compounds or mixtures may constitute the sole active ingredient of the composition of the present invention or may be employed in conjunction with other antiviral agents and/or other ingredients active in inhibiting viral replication and/or otherwise diminishing or abolishing viral infectivity (e.g. by

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inactivating the virus directly).

When treating mammals suffering from infections caused by viruses according to the present invention, the determination of the most effective compound or mixture of compounds for treatment of the particular virus or retrovirus responsible for the infection can be ascertained by routine experimentation using suitable experimental models, such as that described in Example 5 for HIV in vitro or in Example 1 for Friend Leukemia Virus in experimental animals.

When employed in vivo to treat AIDS, viremia (i.e. the presence of virus in the blood stream) or sepsis (viral contamination of bodily fluids) caused by viruses, the PAC compounds may be administered orally, topically or preferably parenterally, and most preferably intravenously at dosages which can be broadly defined by reference to hypericin as follows:

Antiviral compositions containing hypercin as the sole active ingredient can be used at dosages containing from about 0.002 to about 100,000 micrograms per kilogram bodyweight per treatment, preferably between about 2 micrograms and about 5 x  $10^4$  micrograms per kilogram bodyweight per treatment, and most preferably between about 200 micrograms and 5 x  $10^4$  micrograms per kilogram bodyweight per treatment.

When one or more other PAC compounds are used as the active ingredient, the broad dosages will generally be the same as with hypericin. It is understood, however, that if a given PAC compound has e.g. twice the activity of hypericin, the minimum effective dosage will be one-half that of hypericin. Moreover, when more than one active (antiviral) ingredient (i.e., at least one non-PAC antiviral agent is induced) is used in a therapeutic or prophylactic regimen according to the invention, the minimum dosage of the PAC component (i.e., the PAC compound or compounds) of this regimen may be decreased if desired or appropriate. Finally, when more than one active ingredient is used and there is synergism between the PAC component and the other antiviral ingredient or ingredients (or between two or more PAC compounds, even in single active-

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ingredient regimens, i.e., in regimens where the only antiviral agent or agents are PAC compounds), the minimum effective dosages will be even smaller. It should be also understood that analogous minimum dosage modifications apply when a stabilizing or potentiating agent is used in conjunction with a PAC compound.

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To illustrate the foregoing, consider a therapeutic or prophylactic regimen that involves administration of a PAC compound in conjunction with an antivirally active nucleoside analog, as an example of use of more than one active ingredient. (It should be understood that "in conjunction" means coadministered or administered sequentially but as part of the same treatment regimen).

When one or more nucleoside analogs are used in combination with the compounds of the present invention, the nucleoside analog may be administered in conjunction with the PAC compound(s) at doses broadly ranging between about 0.001 and about 20,000 micrograms/kg body weight of said mammal per treatment (again based on hypericin). A preferred minimum dose under these circumstances is 1 microgram and a most preferred minimum dose is 100 micrograms all per kg body weight.

The duration and number of doses or treatments required to control the disease will vary from subject to subject, depending upon the severity and stage of the illness and the subject's general condition and will also depend on the specific antiviral activity of each PAC compound, as well as its toxicity (if any). The total dose required for each treatment may be administered in divided doses or in a single dose. The antiviral treatment may be administered daily, more than once daily, one or two times a week, or as determined by the subject's condition and the stage of the disease.

The present inventors have also discovered that the antiviral activity of hypericin is a function of the frequency of treatment. For example, in mouse studies, a single dose of ten micrograms per mouse was less effective than a single dose of 100 micrograms per mouse, as expected. However, administration of 10 micrograms every day for ten days was less effective than

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even a single 10-microgram dose. By contrast, administration of 10 micrograms once a week was as effective as the single 10-microgram dose. This indicates that the frequency of treatment effects its efficacy. While the foregoing observations in mice may not be applicable to other mammals or humans, those skilled in the art will appreciate that the frequency of treatment is subject to optimization, which can be determined by routine experimentation according to methods well known in the art, e.g. by establishing a matrix of dosage and frequency and assigning a group of experimental subjects to each point of the matrix. Design of this experiment should preferably also take into account the tissue accumulation properties of PAC compounds.

The present invention also provides pharmaceutical compositions and formulations for treating viral infections. The PAC compounds of the present invention can be incorporated in conventional, solid and liquid pharmaceutical formulations (e.g. tablets, capsules, caplets, injectable and orally administrable solutions) for use in treating mammals that are afflicted with viral infections. The pharmaceutical formulations of the invention comprise an effective amount of the PAC compounds of the present invention (as disclosed above) as the active ingredients (alone or in combination with other active or inert agents as discussed above). For example, a parenteral therapeutic composition may comprise a sterile isotonic saline solution containing between about 0.001 micrograms and about 100,000 micrograms of the polycyclic compounds of the present invention and between about 100 and 50,000 micrograms of the nucleoside as described above. It will be appreciated that the unit content of active ingredients contained in an individual dose of each dosage form need not in itself constitute an effective amount since the necessary effective amount can be reached by administration of a plurality of capsules, tablets, injections or combinations thereof.

Each formulation according to the present invention may additionally comprise inert constituents including pharmaceutically-acceptable carriers, diluents, fillers, salts, and

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other materials well-known in the art the selection of which depends upon the dosage form utilized and the particular purpose to be achieved according to the determination of the ordinarily skilled artisan in the field. For example, tablets may be formulated in accordance with conventional procedures employing solid carriers well known in the art. Examples of solid carriers include, starch, sugar, bentonite, silica and other commonly used carriers. Propylene glycol, benzyl alcohol, isopropanol, ethanol, dimethylsulfoxide (DMSO) dimethylacetamide or other biologically acceptable organic solvents or aqueous solutions (e.g. water with a pH higher than 7 and preferably about 8) may be used as diluents, carriers or solvents in the preparation of solid and liquid pharmaceutical formulations containing the anti-retroviral compositions of the present invention. Further nonlimiting examples of carriers and diluents include carbohydrates, albumin and/or other plasma protein components such as low density lipoproteins, high density lipoproteins and the lipids with which these serum proteins are associated. Such lipids include phosphatidyl choline, phosphatidyl serine, phosphatidyl ethanolamine and neutral lipids such as triglycerides. Additional lipid carriers include without limitation tocopherol, retinoic acid and cyclodextranes. Semisolid formulations such as those wellknown in the art (e.g. suppositories) are also contemplated.

Preferred parenteral dosage forms may comprise for example an isotonic saline solution, containing between about 0.1 micrograms and about 100,000 micrograms of the polycyclic compounds of the present invention.

Capsules employed in the present invention may be made from any pharmaceutically acceptable material, such as gelatin or cellulose derivatives. Sustained release oral and transdermal delivery systems are also contemplated.

The antiviral polycyclic compounds of the present invention may additionally be incorporated into liposomes for use as specific drug carriers. Such liposomes may also comprise other active agents e.g., specific anti-HIV antibodies directed against viral proteins expressed by virally infected

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cells such as HIV p120, p41 and p24 (as well as glycosylated forms thereof) to act as specific targeting agents.

The present invention is described below and specific working examples which are intended to illustrate the invention without limiting the scope thereof.

# EXAMPLE 1: ANTI-RETROVIRAL EFFECT OF THE POLYCYCLIC COMPOUNDS OF THE PRESENT INVENTION

#### (a) Effects of PAC Compounds Used Alone.

The effects of compositions according to the present invention on infection of mammals with Friend Leukemia Virus (FV) were examined.

Friend Leukemia Virus is an aggressive retrovirus which induces an acute erythroleukemia in sensitive strains of mice such as BALB/c and NIH swiss mice as described in Friend, C.J., Exp. Med. 105: 307-324, 1957; Friend, C. et al. Proc. Natl. Acad. Sci. USA 68: 378-383, 1971; Friend, C. et al. Natl. Cancer Inst. Mongr. 22: 508-552, 1966. The malignant transformation is the result of the combined activities of the Spleen Focus Forming Virus (SFFV) and the ecotropic Murine Friend Leukemia Helper Virus (F-MuLV). The acute erythroleukemia is characterized by hepatosplenomegaly (a marked increase in the size of the spleen and liver) and a severe anemia.

Friend Leukemia Virus was prepared by homogenizing the enlarged spleen of a mouse previously infected with FV, 10 days after intravenous virus injection. The spleen was homogenized in phosphate buffered saline in a volume equal to ten times the weight of the isolated spleen.

The effects of compositions according to the present invention on the increase in spleen size (splenomegaly) of BALB/c mice (Jackson Labs, Bar Harbor, ME) was examined. In these experiments, the virus (10<sup>6</sup> focus forming units - FFU) was inoculated intravenously and 100 micrograms of the compounds indicated in Table II were administered to the mice intraperitoneally 24 hours later. Each compound was administered once to two mice. The animals were sacrificed ten days later and their spleens weighed. Each compound listed below in Table II had five or more fused aromatic rings in any

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configuration and hence constitutes an analog of a Formula I compound.

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While the Friend virus system permits testing the activity of the compounds of the present invention, in an acute infection system several points should be noted. Transformation of erythroid precursor cells occurs rapidly after virus inoculation. Once transformation by FV occurs, disease is likely to result. Therefore, any inhibition of viral splenomegaly caused by FV by the compounds of the present invention indicates a strong effectiveness for a rapidly-evolving disease and therefore the active compounds of the present invention will also be effective against a slowly-evolving disease. Hence, the results presented above may be extrapolated to a slower and gradually progressive disease such as that caused by HIV.

The present assay has been developed from similar assays using hypericin or pseudohypericin and employing higher numbers of experimental animals per group (e.g. 4 animals). It was discovered however that the specificity and sensitivity of this assay are such that an experimental group of two animals is of more than adequate predictive value.

The results are shown in Table II below.

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# TABLE II

5	<u>Treatment</u>	Actual Spleen Weight	Average Spleen Weight	Average % Inhibition
3	PBS (negative control)	0.1560 0.1429	0.1495	
10	FV (positive control)	0.8316 0.8799	0.8550	_
	1. Decacyclene	0.3940 0.3331	0.3636	57.5
15	2. 3,4,9,10-Perylenetetracarboxylic dianhydr	ride 0.8678 0.8200	0.8439	1.3
20	3. Isoviolanthrone	0.5147 0.4855	0.5001	41.5
20	4. 16,17- Dihydroxydibenzanthrone	0.4151 0.4234	0.4194	50.9
<b>2</b> 5	5. Benzo(GHI)Perylene-1,2-Dicarboxylic Anhydride	0.5072 0.5130	0.5101	40.5
	6. 3,4-Coronenedicarboxylic anhydride	0.8704 0.8695	0.8700	
30	7. Triptycene	0.4578 0.4732	0.4655	45.6
35	8. Caranene 97%	0.4682 0.4796	0.4739	44.6
33	9. 3-Bronophenanthro(3,4-C)Phenanthrene	0.8875 0.8637	0.8756	_
40	10. Diindeno(1,2,3-CD/1',2',3'-IM)Perylene	0.4809 0.4724	0.4767	44.3
	11. 3-Methylphenanthro(3,4-C)Phenanthrene	0.4308 0.4162	0.4235	50.5
45	12. 4A,5,6,12C-Tetrahydro-3-Methylphenanthro (3,4-C)phenanthrene	0.4400 0.4661	0.4531	47.1
50	13. 3,4,4A,5,6,12C-Bexahydrophenanthro (3,4-C)Phenanthrene-3,6-Dione	0.4719 0.4765	0.4742	44.6
<i>5</i> 0	14. Phenanthro(3,4-C)Phenanthrene	0.5580 0.5257	0.5419	36.6
<b>5</b> 5	15. 3,4,4A,5,6,12C-Hexahydrophenanthro (3,4-C)phenanthren-3-one	0.3802 0.3677	0.3739	56.3

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As can be seen in Table II, PAC compounds 1, 3-5, 7, 8 and 10-15 significantly inhibited FV-induced splenomegaly. As used in Table II (and subsequent Tables of this Example 1(a) and (b), "average percent inhibition" is calculated as follows:

 $(1 - \frac{ASWE - ASWNC}{ASWPC - ASWNC}) \times 100$ 

wherein "ASWNC" designates "average spleen weight of negative 10 control"; "ASWE" designates "average spleen weight of experimental (treated) subject"; and "ASWPC" designates "average spleen weight of positive

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	Friend Virus (10 <sup>6</sup> FFU) + PS 80 mcg/mouse	Friend Virus (10 <sup>6</sup> FFU)+ 2 injections PS 80 mcg/mouse
5	0.2831 Spleen weight (gms) 0.2761 Spleen weight (gms) 0.2215 Spleen weight (gms) 0.1810 Spleen weight (gms) x=0.2404±0.0482	0.2457 Spleen weight (gms) 0.3400 Spleen weight (gms) 0.2938 Spleen weight (gms)  0.1956 Spleen weight (gms) x=0.2687±0.0621
10	Net change from control=0.0558 % Inhib=93.82	Net change from control=0.0841 % Inhib=90.70
15	Negative Control Mice (PBS)	Positive Control (Friend) Mice (2x10 <sup>5</sup> FFU)
20	0.2094 Spleen weight (gms) 0.1834 Spleen weight (gms) 0.1790 Spleen weight (gms) 0.1669 Spleen weight (gms) x=0.1846±0.0178	0.8911 Spleen weight (gms) 0.9211 Spleen weight (gms) 0.8004 Spleen weight (gms) 0.8662 Spleen weight (gms) x=0.8697±0.0513 Net change from control=0.6851
25	Friend Virus (2x10 <sup>5</sup> FFU) + PS 80 mcg/mouse	Friend Virus (2x10 <sup>5</sup> FFU) 2 inject PS 80 mcg/mouse
30	0.3457 Spleen weight (gms) 0.2784 Spleen weight (gms) 0.2208 Spleen weight (gms) 0.1791 Spleen weight (gms) x=0.2560±0.0723 Net change from control=0.0714	0.4924 Spleen weight (gms) 0.2469 Spleen weight (gms) 0.2722 Spleen weight (gms) 0.2438 Spleen weight (gms) x=0.3138±0.1197 Net change from control=0.1292
35	% Inhib=89.58	% Inhib=81.15

The data in Table II(a) show the inhibition of splenomegaly, with median inhibition of 93.8%, following a single injection of 80 micrograms per mouse of Ps. A median inhibition of 89.6% in spleen enlargement was observed when 80 micrograms per mouse of Ps was administered in a single injection to mice that had previously been inoculated with 0.5ml of the virus preparation (corresponding to 2x10<sup>5</sup> FFU of virus). When two daily consecutive injections of Ps, each comprising 80 micrograms per mouse of the compound were administered, the median inhibition of splenomegaly was 90.7% with a viral preparation containing 10<sup>6</sup> FFU and 81.7% with a viral preparation containing 2x10<sup>5</sup> FFU (Table 1).

The above results show a marked decrease in the spleen enlargement capacity of the Friend Leukemia Virus (as measured by decreased splenomegaly) following the intraperitoneally administration of Ps 24 hours after infection.

The same type of experiment can be used to measure the antiviral activity of other PAC compounds.

### (2) Co-administration with Friend Leukemia Virus

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A different experimental design was used involving the simultaneous intravenous co-administration of Ps with the FV complex. In this case, the viral preparation was mixed with Ps at various concentrations and the mixture was injected into the mouse tail vein in a final volume of 0.5ml. The mice were sacrificed ten days later, their spleens weighed, and the level of inhibition of splenomegaly subsequently determined. The results are summarized in Table II(b).

#### TABLE II(b)

The effect of intravenous co-administration of pseudohypericin (diluted in PBS with 1% EtOH) with FV, on viralinduced splenomegaly.

### Spleen Weights (grams)

	_ ·	Controls	<u> </u>	Expt1_	Expt2	Expt3
	PBS P	BS + 1%Ete	OH FV	FV+PS 5mcg	FV+PS 20 mcg	FV+PS 50mcg
25						
	0.1304	0.1862	1.1499	0.3425	0.1655	0.1830
	0.1490	0.1567	1.0657	0.3766	0.1426	0.1674
	0.1362	0.1386	0.9597	0.4005	0.1433	0.1422
	0.1515		1.1347	0.4255	0.1966	0.1365
30	_	_	_	_	_	_
	$\bar{x} = 0.1417$	$\bar{x} = 0.1605$	$\bar{x} = 1.0774$	x = 0.3862	$\bar{x} = 0.1614$	$\bar{x} = 0.1572$
	<u>+</u> 0.0101	<u>+</u> 0.0240	<u>+</u> 0.0866	<u>+</u> 0.0353	<u>+</u> 0.0253	<u>+</u> 0.0217
	% inhibi	tion as co	ompared			
35		roup rece				
		S + 1% Et		= 75.44%	100%	100%

As shown in Table II(b) above, 100% inhibition of splenomegaly was found when Ps was administered with the viral complex at concentrations of 20 micrograms per mouse and 50 micrograms per mouse (average mouse weight approximately 150 grams). A mean inhibition of 75.44% was found when 5

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micrograms per mouse was co-administered with the virus.

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These results show the effectiveness of the compounds of the present invention in that as little as 5 micrograms per mouse was effective in inhibiting viral transformation by this aggressive RNA tumor virus.

An expanded series of experiments was next performed in which various concentrations (i.e. 50, 100, 200 and 2X100, i.e., 100 micrograms administered twice) of a different set of PAC compounds (numbers 16-29 and 30-36 in Table III below) were administered intraperitoneally each according to the same protocol described above. Compounds 16-29 all had 3-5 fused, aromatic rings and no side groups except for oxygen or hydroxyl groups, whereas compounds 30-36 all had 3 fused aromatic rings and side chains selected from the group of oxygen, hydroxyl and methyl.

When 2 doses of the compounds were given, the second dose was administered 24-48 hours after the first injection. The animals were infected and splenomegaly determined as above for the compounds in Table II. The results are presented in Table III below. In Table III, "pooled average percent inhibition" is calculated by adding the average percent inhibition for each experiment with the same compound and dividing the sum by the number of experiments (i.e. the number 4).

25 The standard deviation was computed by pooling all the data for each compound (i.e. all concentrations of a compound employed) and therefore the large standard deviation values given reflect only the variablility of the data over the range of concentrations used for each compound. As can be seen from the spleen weight values, the PAC compounds in Table III have a definite inhibitory effect.

## TABLE III

5	Treatment	Dose So	Actual leen Weight	Average Spleen Weight	Perilosage Average % Inhibition %	Pooled Average Inhibition	Standard Deviation
			हारा इस	r of exper	TIMENTS		
	ERS (negative control)		0.1247		M-WANT		
	HO (IRACIVE CULTUI)		0.142	0.1334			
10			1.4626			-	
	FV (positive control)		1.7272	1.5949			
			0.9062				
	16. Dolecehydrotriphenylene	50	0.9954	0.9508	44	24	<b>16</b>
	• •		1.6206				
15		100	1.5907	1.6057	~		•
			1.2007		•		
		<b>20</b> 0	1.2948	1.2478	24		
		~~~	1.161	1 1067	28		
		2X100	1.2072	1.1867	28		
20	• • • • • • • • • • • • • • • • • • •	EΛ	1.06 1.21	1.1350	31	26	8
	17. Phenenthrene	50	1.3626	1.130	31	ر کی	U
		100	1.2101	1.2864	21		
		100	1.4602	1.2001			
25		200	1.24	1.3501	17		
			1.0704		_,		
		2X100	1.0662	1.0683	<b>3</b> 6		
			1.1141				
	18. Phenylanthracene	50	1.2464	1.1803	28	19	10
30	•		1.6206				
		100	1.4902	1.5554	3		
			1.3797		_		
		200	1.2794	1.3296	18		
			1.4116	4 0460	00		
35		20100	1.0222	1.2169	<b>2</b> 6		
	40 m-l-1	EO	1.0961	1 0400	37	17	12
	19. Triphenylene	50	1.0036 1.5971	1.0499	31	17	12
		100	1.4719	1.5345	4		
40		100	1.3636	1.00	3		
₩.		200	1.4352	1.3994	13		
			1.246		-		
		223.00	1.5665	1.4063	13		
			1.3209				
45	20. Dihydropheranthrene	50	1.2307	1.2758	22	3	19
			2.0411				
		100	1.9626	2.0019	-		
			1.4003				
		200	1.4626	1.4315	11		
50			1.4929		_		
		<b>2</b> X100	1.5117	1.5023	6		
			1.4102				

	(con	t'd)						
				•	Average	Pendosage	Rooled	
				Actual	Spleen	Average	Average	Standard
	Tres	tment	Dose So	leen Weicht		% Inhibition	% Inhibition	
5								
	21.	1,4,5,8,9,10-						
		Hexahydroanthracene	50	1.3969	1.4036	13	17	4
		-		1.4907				
			100	1.3334	1.4121	13		
10				1.4772				
			200	1.1121	1.2947	21		
				1.3242				
			200	1.2006	1.2624	23		
				0.9242				
15	22.	9,10-Diphenylanthracene	50	1.063	0.9936	41	<b>1</b> 5	<b>16</b>
				1.4116				•
			100	1.6226	1.5171	5		
				1.5997				
			<b>20</b> 0	1.5828	1.5913	0		
20				1.4611				
			2x100	1.3629	1.4120	13		
				1.1961				
	23.	1,2,3,6,7,8-						
		Hexahydropyrene	50	1.2222	1.2092	26	25	11
25				1.6114				
			100	1.4147	1.5131	6		
				1.0303				
			200	1.1618	1.0961	34		
20				1.0119				
30			200	1.2443	1.1281	32		
	24			1.3016				
	24.	Tetraphenylcyclo	FO	• 2000	1.0000		4.5	_
		pentalience	50	1.2902	1.2959	20	16	7
35			100	1.3774	1 2040	4.4		
33			100	1.4106	1.3940	14		
			200	1.61	1 5030	•		
			200	1.404	1.5070	6		
			2X100	1.2876 1.1998	1.2437	24		
40			22100	1.2062	1.2457	24		
₩.	25	2-Methylanthracene	50	1.2146	1.2104	26	30	4
	٠.	z renymmade	<b>3</b> 0	1.0062	1.2104	20	30	4
			100	1.1149	1.0606	37		
			~~	1.2106	2.000	3,		
45			200	1.1702	1.1904	28		
_				1.19	1.1.07	20		
			20100	1.1616	1.1758	29		
				1.2003	/	ELF		

	( <del>cont</del> 'd)			Average	Perdosage	Pooled	Character 12
		D 0-	Actual	Spleen	Average % Inhibition %	Average	Standard
-	Trestment	1086 20	leen Weight	WEIGHT	4 HILLICIA 5		12 yarrena
5	26. 1,2,3,4-Tetrapheryl-1,						
	3-cyclopentadiene	50	1.1967	1.1985	27	25	15
	• 0 <sub>1</sub> 0 <u></u>		0.9898				
		100	1.0662	1.0280	39		
10			1.0779				
		200	1.1482	1.1131	33		
		2X100	1.2161 1.977	1.5966	0		
		28,100	1.2226	1.5900	U		
15	27. Perylene	50	1.407	1.3148	19	9	7
1.7	Z/. relyme	••	1.5572				•
		100	1.4982	1.5277	5		
			1.5066				
		200	1.7022	1.6044	1		
20			1.496	4.740	44		
		200	1.3723 1.2351	1.4342	11		
	20 Dembroom	50	1.3209	1.2780	22	12	6
	28. Pentacene	<b>∞</b>	1.4996	2.2700	_		-
25		100	1.5772	1.5384	· 4		
_			1.4806				
		200	1.3749	1.4278	11		
			1.4208				
		20100	1.3996	1.4102	13		
30	00 0 77 - 1 - 1	E0	1.6182	1.6517	4	14	11
	29. 9-Virylanthracene	50	1.6851 1.1792	1.6517	*	14	**
		100	1.2606	1.2199	26		
		200	1.2933				
35		200	1.2933	1.2933	21		
			1.3747				
		200	1.3747	1.3747	15		
			country o	er of exe	DIMENTE		
40	PRS (negative control)	_	0.2061	CI Cr DALL	ATTEND.		
40	m (majative cultur)		0.1551	0.1806	-		
	FV (positive control)	_	2.0462				
	•		2.1004	2.0733	-		
	Hy (hypericin)	150mg	0.7245				
45			0.8169	0.7707	63	53	13
	30. Anthrone	50	1.4837	1.4953	31	53	IJ
		100	1.5069 0.8623	0.8790	ഒ		
		100	0.8957	0.0150	<b></b>		
50		200	0.9567	0.9092	62		
•			0.8616				
		200	0.9799	0.9878	57		
			0.9956				

	(ccrt'd)			Average	Perdosage	Pooled	
			Actual	Spleen	Avezage	Avezage	Standard
5	Treatment	Dose Sp	leen Weight	Weight	1 Inhibition	* Inhibition	<u>Deviation</u>
•	31. Xarthone	50	0.965 1.0362	1.0006	57	59	2
		100	0.9708 0.9903	0.9806	58		
10		200	0.9866 0.8996	0.9431	60		
		2X100	0.8744 0.9262	0.9003	62		
15	32. Anthraflavic acid	50	1.7072 1.4363	1.5718	26	50	15
		100	1.1367 1.1717	1.1542	49		
		200	0.8236 0.8949	0.8593	64		
20		2X100	0.961 0.904	0.9325	60		
	33. 2-phenyl-1,2, iradione	50	0.9376 0.9444	0.9410	60	51	21
25		100	1.8237 1.7288	1.7763	16		
		200	0.7737 0.8138	0.7938	68		
		200	0.9522 0.8732	0.9127	61		
30	34. Emodin 99%	50	1.3341	1.2914	41	38	11
		100	1.1661	1.1333	50		
35		200	1.7441 1.6363	1.6902	20		
	25 0 0 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2	23100	1.2635 1.3033	1.2834	42		
<b>4</b> 0	35. 2-(hydroxymethyl)- anthraquinone	50	0.8845 0.8996	0.8921	62	<b>7</b> 0	7
-4€		100	0.934 0.7889	0.8615	64		
		200	0.5399 0.6772	0.6086	77		
<b>4</b> 5		20100	0.6006 0.7144	0.6575	75		
	36. Biantimore	50	1.1042 1.0636	1.0839	52	52	3
50		100	1.1443 1.1707	1.1575	48		
		200	1.0063 0.9877	0.9970	57		
		2X100	1.0606 1.21	1.1353	50		

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As can be seen from the results in Table III compounds 30-36 (having 3 fused aromatic rings and side groups of methyl, oxygen or hydroxyl) were generally more effective than compounds 16-29 (having 3-5 fused aromatic rings and no side groups). It should be noted that all of the compounds tested in the experiments described in this Example showed at least some degree of anti-retroviral activity. The same experiment can be used to measure the antiviral activity of other PAC compounds.

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All compounds used in this Example 1(a) can be obtained from Aldrich Chemical Co., Milwaukee, WI., and are referred to herein as Series B compounds. Their structural formulas are set forth in Example 2, below.

# (b) Effects of Polycyclic Compounds In Combination With Nucleoside Analogs

The compounds of the present invention (100 micrograms per mouse) were also tested in combination with AZT (20 micrograms per mouse, twice a day) using otherwise the same methods as in Example 1(a) above. As representatives, compound #9 above (3-bromophenanthro (3,4-C), phenanthrene) and compound #10 (diindeno (1, 2, 3-CD/1', 2', 3' -IM) Perylene) were chosen. As shown in Table II above, compound #9 had shown weak anti-retroviral activity when administered at 100 micrograms per mouse whereas compound #10 showed significant (>40%) inhibition of FV-induced splenomegaly. The compounds were administered i.p. either once or five times (once per day) alone or together with AZT (20 micrograms of AZT per mouse twice a day for five days?). Thus, in experiments where AZT was administered five times, a total of 100 micrograms of AZT was received by each mouse (with a total of 500 micrograms of the PAC compound). The results are shown in Table IV below.

## TABLE IV

5	<u>Treatment</u>	Spleen weight	<u>Average</u>	% Inhibition
10	PBS	0.1637 0.159	0.16135	-
10	FV	2.2297 2.0875	2.1586	-
15	1X #9	2.0831 2.1317	2.1074	3
	5x #9	1.6262 1.7363	1.68125	24
20	1X #10	1.7816 1.6664	1.724	22
25	5X #10	1.5771 0.8945	1.2358	46
25	5X AZT (20 micrograms per mouse)	1.3744 1.4046	1.3895	39
30	5X (AZT + #9) (20 micrograms +100 micrograms of #9 per mouse)	0.9097 0.9619	0.9358	61
35	5X (AZT + #10) (20 micrograms +100 micrograms of #10 per mouse)	0.9787 1.0611	1.0199	57

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As shown in Table IV above, although compound #9 showed weak anti-retroviral activity when administered once (3%) or five times over a period of five days (24%). When the same compound was co-administered with AZT, substantial inhibition of FV-induced splenomegaly (61%) was found. This increase in inhibitory activity is not attributable to AZT alone, since the same amount of AZT alone caused only 39% inhibition. Hence, the co-administration of the present compounds and AZT constitutes a regimen of at least additive effectiveness compared to the administration of either active ingredient alone.

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Administration of compound #10 (which demonstrated significant anti-retroviral activity when administered alone) in conjunction with AZT not only led to substantial retroviral inhibition (57%) but this inhibition was also greater than the inhibition found when each drug was administered alone.

Based on the above tests involving PAC compounds and/or tests of hypericin and pseudohypericin combined with AZT, it is anticipated that other PAC compounds will have at least additive activity when used therapeutically or preventively in conjunction with AZT or another nucleoside analog.

Therefore, the above data in Table IV show the enhanced efficacy of the PAC compounds when combined with nucleoside analogs such as AZT when treating a retroviral infection. The results of a similar experiment using hypericin and pseudo-hypericin together with AZT show that hypericin-containing compositions and also containing AZT have antiviral activity (and splenomegaly-inhibitory activity) that is higher than the activity of either the PAC compound or the nucleoside.

#### EXAMPLE 2:

Listed below are a series of PAC compounds (Series A). Due to their structural similarity with hypericin, they are expected to be active against viruses and retroviruses. These compounds are available upon request from the National Cancer Institute, Bethesda, MD and their properties have been described in Weiss, U. et al. <u>Progress in Chemistry of Organic Natural Products 52:1-71, 1987.</u>

A1. CAS Registry No. 14343921

A2. CAS Registry No. 6336841

A3. CAS Registry No. 14642729

A4. CAS Registry No. 6336874

A5. CAS Registry No. 6941475

A6. CAS Registry No. 4478766

A7. CAS Registry No. 2013583

A8. CAS Registry No. 667914

A9. CAS Registry No. 434855

A10. CAS Registry No. 3438082

All. CAS Registry No. 24541193

A12. CAS Registry No. 10395025

A13. [NSC No. 123399-N]

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A14. CAS Registry No. 69544850

A15. CAS Registry No. 55043419

A16. CAS Registry No. 71205384

A17. CAS Registry No. 52236541

A18. [NSC No. 231579-Y]

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A19. [NSC No. 241039-I]

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A20. CAS Registry No. 27575468 A21. [NSC No. 308787-V]

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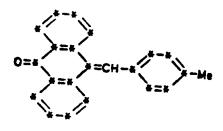
A22. [NSC No. 308805-Q]

HC ----

A23. [NSC No. 308814-2]

A24. CAS Registry No. 14343954

A25. Rondomycin, 2-Naphthacenecarboxamide, NSC No. 356465-U



A26. CAS Registry No. 81092844

A27. CAS Registry No. 81092855

A28. [NSC No. 507458-S]

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Listed below are a series of compounds (Series B) which are PAC compounds (including AAB compounds and analogs or derivatives of AAB compounds). Due to their structural similarity with hypericin, they are expected to be active against viruses and retroviruses. These compounds are available from Aldrich Chemical Co. The names of these compounds are in Tables II and III of Example 1.

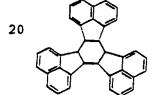
### Series B - Compounds 1-4

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2.



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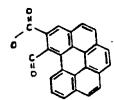
### Series B (cont'd) - Compounds 5-11

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11.

# Series B (cont'd) - Compounds 12-21

12.

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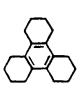
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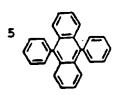


# Series B (cont'd) - Compounds 22-29

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24.



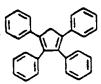
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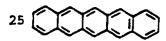




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Series B (cont'd) - Compounds 30 - 36

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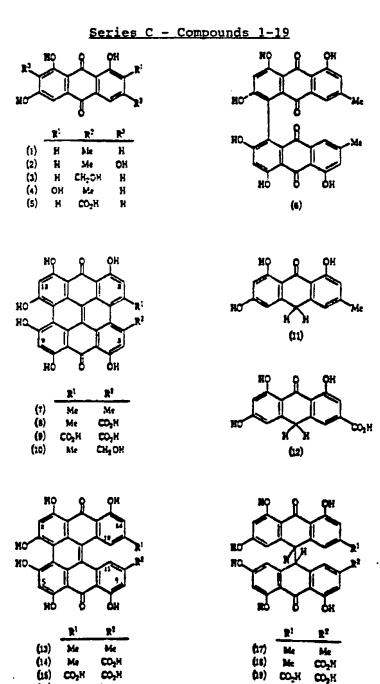
34.

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The properties of the following AAB compounds, 1-25 (Series C) have been described in Banks, H.J. et al., Aust. J. Chem. 29: 1509-1521, 1976.

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CO<sub>2</sub>H

(15)

CO<sub>2</sub>H

CO<sub>2</sub>H

CH2OH

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### Series C (cont'd) - Compounds 20-25

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The synthesis and/or isolation of compounds 1-25 (Series C) listed above are specifically described in the following references:

- 1. Emodin. Commercially available from Aldrich. Synthesis from 3,5 dimethoxy-o-phthalic anhydride and m-cresol U.S. Pat. No. 2,707,704 of Brockmann et al, also from Ahmed, S.A. et al <u>J. Chem. Soc. Chem. Commun.</u> 1987, pp. 883-884 which also discloses synthesis for various hydroxyemodins.
- 2. 7-hydroxyemodin. Banks, H.J. et al., <u>Aust. J.</u>
  10 <u>Chem.</u>, 29:1509-1521, 1976.
  - 3. Omega-hydroxyemodin. Banks, supra.
  - 4. alaternin (2-hydroxyemodin). Banks, supra.
  - 5. Emodic Acid. Synthesis from emodin: Anslow, W.K. et al. <u>Biochem. J.</u> 34: 159, 1940.
  - 6. Skyrin: Auterhoff, H. et al. Arch. Pharm. 295: 850, 1962; also Banks, supra from emodin bianthrone by O2, KOH followed by HCl, thin-layer chromatography, and gel filtration.
    - 7. Hypericin: Brockmann, supra, also Anslow, supra.
    - 8. Hypericin monocarboxylic acid: Thompson, R.H.
- Naturally Occurring Ouinones, 2nd Ed. Academic Press, London, 1971; Banks, H.J. et al., <u>Insect Biochem. 3</u>: 139, 1973; Brown, K.S., <u>Chem. Soc. Rev. 4</u>: 263, 1973; Anslow, W.K. et al., <u>Biochem. J. 34</u>: 159, 1940.
- 9. Hypericin dicarboxylic acid: Banks, H.J. et al., 25 <u>Aust. J. Chem. 29</u>: 1509-1521, 1976.
  - 10. Pseudohypericin, Banks et al., supra.
  - 11. Emodin Anthrone. Synthesis from reduction of emodin with hydriodic acid or stannous chloride. Brockmann, H. et al. Chem. Ber. 90: 2302, 1957.
- 12. Emodin Acid Anthrone. Synthesis from emodic acid reduced with hydriodic acid; Anslow, W.K. et al. <u>supra</u> and Brockmann, H. et al., <u>Chem. Ber. 91</u>: 81, 1958; Jacobsen, R.A. et al., <u>J. Am. Chem. Soc. 46</u>: 1312, 1924.
  - 13. Protohypericin: Banks et al., supra.
- 35 14. Protohypericin monocarboxylic acid, Banks et al., supra.
  - 15. Protohypericin di-carboxylic acid, Banks et al.,

### supra.

- 16. Hydroxymethyl protohypericin, Banks et al., supra.
- 17. Emodin bianthrone: Anslow, W.K. et al., supra.
- 18. Emodinic acid bianthrone: Anslow, W.K. et al.,
- 5 supra.
  - 19. Emodin bianthrone dicarboxylic acid, Anslow, W.K. et al., <u>supra</u>.
    - 20. Banks et al., supra.
    - 21. Isohypericin: Steglich, W. et al., Angew. Chem.
- 10 <u>Int. Ed. Engl. 12</u>: 79, 1973; Banks, et al., supra.
  - 22. 10-peroxy-9-anthrone: Bedford, C.T., <u>J. Chem. Soc.</u> <u>C.</u>: 2514, 1968.
    - 23. Penicilliopsin: Banks et al., supra.
    - 24. Hyperico-dehydrodianthrone: Banks et al., supra.
- 15 25. Banks et al., <u>supra</u>.

Moreover, the AAB compounds X-XXXII (Series D) listed below are also related to hypericin and therefore expected to possess antiviral activity.

Series D - Compounds X-XVIII 5 10 X XI XII 15 20 OAc XIV IIIX χv 25 **BO** 30 XVI XVII XVIII

The synthesis of the above compounds has been described in Brockmann, H.M., in <u>Progress in Organic Chemistry</u>, Vol. I, Cook, J.W. ed., p.64-82, 1952.

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### Series D (cont'd) - Compounds XIX-XXXII

$$(XX)$$
 OH =  $C_6H_5CO_2$   
 $(XXI)$  OH =  $CH_3O$ 

(XXII) 
$$R = CH_3$$
  
(XXIII)  $R = H$   
(XXIV) OHCHR =  $C_2H_5$   
(XXV) OHCHR =  $CH_3O$ 

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The synthesis of the above AAB compounds XIX-XXXII has been described in Brockmann, H. et al., <u>Tetrahedron Letters 23</u>: 1991-1994, 1974.

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Series E - Compounds 1-7

The synthesis of the above compounds has been described in Brockmann, H. et al., U.S. Patent No. 2,707,704 issued may 3, 1955.

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### EXAMPLE 3: ANTIVIRAL ACTIVITY OF PROTOHYPERICIN

The antiviral activity of the hypericin homolog protohypericin was tested as follows.

Protohypericin was synthesized by the method of Banks, H.J. et al., <u>Aust. J. Chem. 29</u>: 1509-1571, 1975. The material was purified by chromatography using silica gel 60 (mesh 0.4 - 0.6) and stored in the dark until use.

Supernatants (10 ml/tube) from B10.T(6R) cells (Meruelo et al. J. Exp. Med. 147: 470-487, 1978) chronically infected with Radiation Leukemia Virus (RadLV) were obtained by centrifugation of cells in culture at 4°C, 3500 rpm for 15 minutes. The top 2/3 of the supernatant were removed and aliquots were incubated for 30 minutes on ice with the indicated amounts of hypericin or protohypericin. The procedure was carried out in the absence of light because protohypericin converts to hypericin upon exposure to light. Thereafter, supernatants were centrifuged at 100,000 x g using a TI70 rotor (Beckman Instruments) for 1 hour at 4°C. The pellet was decanted and analyzed for reverse transcriptase activity as follows.

The reverse transcriptase assay was performed in a volume of 100 microliters containing the following components:

	Reagent Stock	Microliters of Stock per assay	
5	Sol'n A: 0.50M Tris/HCl pH 7.8	10	50 mM
	0.6M KCl		60 mM
10	Sol'n B: 2.0mM Mn Acetate	10	0.2 mM
10	Sol'n C: 40 mM dithiothreitol	5	2 mM
	Triton X-100 (10%)	1	0.1%
15	poly (rA): (dT) <sub>12</sub> (10 A <sub>260</sub> units/r	nl) <sup>1</sup> 4	0.4 A <sub>260</sub> units per ml
	dTTP (2x10 <sup>-4</sup> M)	10	2X10 <sup>-5</sup> M
20	$[^3H]$ -TTP (500 micro Ci/ml) <sup>2</sup>	10	5 micro Ci
		50	

<sup>1</sup> Obtained from Pharmacia Fine Chemical Co., (Piscataway, NJ)

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The reverse transcriptase assay provides a measure of the
antiviral activity of the compounds tested by reference to the
observed decrease in activity of this enzyme.

The results of these assays are shown in Table V below.

In Table V, "CPM" is "counts per minute", "Average" is the

numerical average of CPM values within each group of animals.

<sup>&</sup>lt;sup>2</sup> Obtained from New England Nuclear (Boston, MA)

51 TABLE V

Anti <del>r</del>	etroviral	Activity	of	Protohypericin
4 3 4 4 4 4 4	CATOATTAT.	TIC CIATOR	<u> </u>	TIOCOTIADOTICATI

5	Antiretroviral Activity of Protonypericin			
5	Addition (micrograms)	CPM	<u>Average</u>	Average percent inhibition
10	None (negative control)	195,554 222,846	209,200	
	100 hypericin	1,502 2,158	1,830	99.0
15	50 hypericin	5,434 3,716	4,575	97.8
20	10 hypericin	8,912 9,102	9,007	95.7
	5 hypericin	12,224 11,332	11,778	94.4
25	1 hypericin	4,504 3,690	4,097	98.0
	0.5 hypericin	3,190 3,667	3,428.5	98.4
30	0.1 hypericin	1,668 2,998	2,333	98.9
35	0.05 hypericin	2,882 3,252	3,067	98.5
	100 protohypericin	8,818 11,744	10,281	95.1
40	50 protohypericin	75,816 67,466	71,641	65.8
	10 protohypericin	202,656 168,422	185,539	11.3
45	5 protohypericin	12,358 12,908	12,633	94.0
50	1 protohypericin	192,184 263,044	227,614	
	0.5 protohypericin	264,710 251,048	257,879	
55	0.1 protohypericin	216,824 305,342	261,083	

(cont'd)

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5	Addition (micrograms)	CPM	Average	Average percent inhibition
	0.05 protohypericin	310,952 307,254	309,103	

As can be seen from the data in Table V, protohypericin 10 significantly inhibited the reverse transcriptase activity of RadLV, although 10 to 100 fold higher concentrations of protohypericin were required to obtain the same degree of inhibition as that obtained with hypericin. Similarly, the activity of other AAB compounds can be tested by the same 15 assay.

EXAMPLE 4: Antiviral Activity of Hypericin Hexaacetate The antiviral activity of hypericin hexaacetate (HHA) was tested as follows:

Hypericin hexaacetate can be synthesized by warming hypercin in the presence of excess acetic anhydride with the addition of an acid catalyst, such as sulfuric acid or boron fluoride. Alternatively a basic catalyst can be used such as fused sodium acetate, pyridine or triethylamine. See also Brockmann, H., et al., 90:2480-2491, 1957. 25

The antiviral activity of HHA was tested using AQR (Bach and Meruelo, <u>J. Exp. Med. 160</u>:270-285, 1984) cells chronically infected with Radiation Leukemia Virus in the reverse transcriptase assay as described in Example 3 above. The results are shown in Table VI below.

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TABLE VI

Anti-retroviral Activity of Hypericin Hexaacetate

5	Addition (micrograms)	СРМ	Average	Average Percent <u>Inhibition</u>
10	None (Negative control)	477,218 419,284	448,251	
10	100 Hy	28,946 33,748	31,347	93.0
15	50 Ну	33,948 29,688	31,818	92.9
	10 Hy	9,288 13,888	11,588	97.4
20	2 Hy	14,474 5,498	9,986	97.8
25	0.4 Hy	1,700 3,278	2,489	99.4
25	100 нна	2,750 2,340	2,545	99.4
30	50 нна	5,236 5,374	5,305	98.8
	10 нна	96,654 86,226	91,440	79.6
35	5 нна	221,098 190,604	205,891	54.1
40	1 нна	401,306 501,962	451,634	
40	0.5 нна	518,336 484,356	501,386	
45	0.1 HHA	208,882 196,026	202,454	54.8
	0.05 HHA	441,410 492,552	466,981	

As can be seen from the results shown in Table VI, HHA was about as active a protohypericin in inhibiting the RadLV reverse transcriptase activity.

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# EXAMPLE 5: INHIBITION OF HIV BY THE COMPOSITIONS OF THE PRESENT INVENTION

The activity of the AAB compounds of the present invention against human immunodeficiency virus (HIV) may be investigated in the following manner. HIV-infected cells, such as OKT4+ lymphoblastoid cells, e.g. clone H9 (described in Popovic, M., et al, <u>Science 224</u>:497-500, 1984) or HUT 78 cells (Gazdar, AF et al. <u>Blood 55</u>:409, 1980) or Molt-78 (available as ATCC CRL 1582 from the American Type Culture Collection, Rockville, MD) are maintained in RPMI-1640 medium (GIBCO, Grand Island, New York) containing 20% fetal calf serum (Flow Laboratories, Inglewood, CA). Triplicate cultures of cells, seeded at a concentration of about 4X10<sup>5</sup> cells per ml, are exposed to polybrene (2 micrograms per ml, Sigma Chemical Co., St. Louis, MO), infected with 2X10<sup>8</sup> HIV particles per 4X10<sup>5</sup> cells, and cultured in the presence or absence of the compounds of the present invention as in Examples 1 and 2 above.

The antiviral activity of the compounds of the present invention is determined by monitoring the reverse transcriptase activity and the expression of HIV proteins p24 and p17, as described in Sarin, P.S. et al., (<u>J. Nat. Cancer Inst. 78</u>:663-665, 1987), and as described below.

### EXPRESSION OF HIV GAG PROTEINS P24 AND P17.

HUT-78, Molt-4 or H9 cells (2X10<sup>5</sup>), either uninfected or HIV infected, are continuously exposed to various concentrations of the compounds of the present invention at concentrations between 5 and 200 micrograms per ml for 4 days. The percentage of cells expressing p24 and p17 proteins of HIV is determined by indirect immunofluorescence microscopy with the use of mouse monoclonal antibodies to HIV p17 and p24 (available from numerous commercial sources such as those in HIV serum antibody detection kits from Abbott Labs, North Chicago, IL, and from DuPont, Wilmington, DE). The positive cells are visualized by treatment with fluorescein-labeled goat antimouse IgG (Cappell Laboratories, Cochranville, PA). The experiments are performed in duplicate and repeated at least three times.

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# DETERMINATION OF REVERSE TRANSCRIPTASE ACTIVITY

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H9, HUT-78 or MOLT-4 cells infected with HIV are exposed to various concentrations of the compounds of the present invention as above. At day 4, supernatants of the cultures are collected and virus particles are precipitated with polyethylene glycol and obtained by centrifugation as described above and assayed for reverse transcriptase activity as follows.

The virus pellet is suspended in 300 microliters of buffer containing 50 mM Tris-HC1 (pH 7.5), 5mM dithiothreitol, 10 250 mM KCl, and 0.25% Triton X-100. Reverse transcriptase activity in these samples are analyzed in a 50 microliter reaction mixture containing 50 mM Tris/HCl (pH 7.5), 5mM dithiothreitol, 100 mM KCl, 0.1% Triton X-100, 10 microliters  $dT_{15}rA_n$  as template primer, 10 mM MgCl<sub>2</sub>, 15 micromolar [ $^3H$ ]dTTP15 (New England Nuclear, Boston, MA), and 10 microliters of disrupted virus suspension. After incubation for 1 hour at 37°C and subsequent addition of 50 micrograms of yeast tRNA (Sigma Chemical, St. Louis, MO), the incorporation of radioactivity into the cold trichloroacetic acid-insoluble fraction is 20 assayed.

Assays are performed in duplicate and repeated three times.

EXAMPLE 6: THE EFFECT OF THE COMPOSITION OF THE PRESENT INVENTION ON THE REPLICATION OF FELINE LEUKEMIA VIRUS

Cats which test positive for feline leukemia virus (FeLV) viremia will be inoculated with the compounds of the present invention (as shown in Examples 1 and 2 above), with and without nucleoside analogs, at 5-20mg/kg twice a day for various intervals of time. Serum levels of FeLV will then be followed and treatment will be resumed using the same regimens or adjusted with respect to the levels of viremia suppression obtained. The length of follow up will be determined by experimental considerations. A minimum of six months follow-up will be undertaken.

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EXAMPLE 7: CHEMICAL SYNTHESIS OF AAB COMPOUNDS.

The following AAB compounds, referred to as WIS-1 - WIS-6 were synthesized as follows below.

WIS-1-Hypericin-dicarboxylic acid.

This compound has been described by Banks, H.J. et al., Aust. J. Chem. 29: 1509-1521, 1976. Its chemical structure and synthesis are shown below.

Hypericin-hexa-acetate

Hypericin dicarboxylie acid

200 mg hypericin hexaacetate (Brockmann, H. et al., Tetrahedron Letters: 2: 37-40, 1975) and whose synthesis is also described above in Example 4 was dissolved in 4 ml acetic acid and treated dropwise with a solution of 720 mg of chromium trioxide in 0.3 ml water and 3 ml acetic acid. After incubation for 1 hour at 55°C, the reaction mixture was poured into 50 ml of water, incubated overnight at room temperature and then filtered (Whatman qualitative No. 1 filter). The yellow solid obtained was dissolved in 400 ml of 0.2 M potassium hydroxide solution, heated with 0.2 ml piperidine, and the solution warmed to 60°C for 10 minutes. The solution was then acidified to pH 1 with a 5% hydrochloric acid solution and the black precipitate obtained was filtered (Whatman qualitative No. 1 filter) to give the desired product.

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 $_{
m max}$  (MeOH) 600 ( 35,000), 555 (17,000), 518 (10,000)  $_{
m nm.1}$  (KBr) 1590, 1700 3000  $_{
m cm}$ -1.2  $_{
m 1H}$  NMR (CD<sub>3</sub>SO) 7.72, 6.42  $_{
m ppm.3}$ 

WIS-2-Tetrahydroxy-dibenzoperylene-dione

1,3-dihydroxy anthraquinone

Tetrahydroxydibenzoperylene-dione

This compound has been described in Rodewald, G. et al., Angew. Chem. Int. Ed. 16: 46-47, 1977.

5 g of 1, 3-dihydroxyanthraquinone (Perkin, A.G. et al., J. Chem. Soc. 1929:1399-1411) was dissolved in 92 ml water containing 5 g of potassium tert-butoxide, and treated with 3 g hydroquinone. The resulting dark red solution was introduced into a glass ampule, purged with argon gas and then sealed. The sealed glass ampule was heated in an oil bath at 120°C for 20 days. The contents of the ampule were then acidified with a

<sup>1</sup> max = wavelength absorption peak in MeOH ( = molar
30 extinction coefficient).

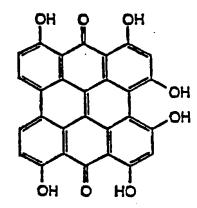
<sup>=</sup> frequency, cm<sup>-1</sup>.

<sup>3 =</sup> chemical shift ppm (parts per million) as described in <u>Spectroscopic Methods in Organic Chemistry</u>, Williams, D.A. et al. (eds) pp. 40-129, McGraw-Hill Ltd., London, 1966.

solution of hydrochloric acid (1%) to pH 1, extracted with a solution of butanol and ethyl acetate (1:1), washed with distilled water until neutral and evaporated to dryness. The residue obtained was chromatograph on a column of silica gel and eluted with a mixture of ethyl acetate:butanol (100:5) to yield 350 mg of the product which did not change upon irradiation. The physical data (lambda $_{\rm max}$ ,  $^{1}{\rm H}$  NMR) were identical to those reported in the above-cited Rodewald et al. publication.

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1,3,6-trihydroxyanthraquinone

Des-methylhypericin

25 This compound has been previously described and characterized (Cameron, D.W. et al., Aust. J. Chem. 29:1523-1533, 1976).

1, 3, 8-Trihydroxyanthraquinone (300 mg) (prepared as described in Lovie, J.C. et al., J. Chem. Soc. 1961:485-486) was dissolved in 10 ml water containing 0.5 g of potassium tert-butoxide, and treated with 0.3 g hydroquinone. The resulting dark red solution was introduced into a glass ampule which was purged with argon gas, and then sealed. The sealed glass ampule was incubated in an oil bath at 140°C for 21 days. The contents were then acidified with a solution of hydrochloric acid (1%) to pH 1, extracted with a solution of butanol and ethyl acetate (1:1), washed with distilled water

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until neutral and evaporated to dryness. The residue was chromatographed on a column of silica gel and eluted with a mixture of ethyl acetate:methanol (100:5) yielding a desmethyl analog of protohypericin in the amount of 50 mg. This material was dissolved in ethyl acetate and irradiated with visible light for one hour. The solvent was evaporated to dryness resulting in desmethylhypericin in a yield of 44 mg. The compound was a dark red amorphous solid.

 $\max_{\text{max}}$  (in MeOH): 580 (45,000), 537 (25,000), 502 (15,000), 468 (30,000) nm.

max (KBr): 3400, 1620, 1590, 1550. 1<sub>H</sub> NMR (CD<sub>3</sub>SO) 8.48 (d, J=8H), 7.15(d, J=8HZ), 6.57 (s) ppm

## WIS-4-Desoxohypericin-hexacetate

Hypericin

Desoxo-hypericin hexa-acetate

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This compound has been previously disclosed in Brockmann, H. et al., Chem. Ber. 90: 2481-2491, 1957.

200 mg hypericin and 200 mg sodium acetate were heated over reflux for 10 minutes and treated with 4 g zinc powder, added in small portions. The residue was filtered (Whatman qualitative No. 1 filter) dried, dissolved in 50 ml benzene and

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filtered again. The solution thus obtained was treated with 275 mg chloranil, boiled under reflux for 30 minutes and incubated for 2 days at room temperature. The dark blue solution was filtered through a column containing 50 g of silica gel. The reaction product was eluted with a mixture of benzene and acetone (100:2) yielding 55 mg of desoxohypericinhexaacetate.

UV (in MeOH) 621 (45,000), 567 (24,000), 310 (91,000) nm. 1<sub>H</sub> NMR (CDCl<sub>3</sub>) 2.36, 2.40, 2.45, 2.46, 8.3, 7.44, 7.39 ppm.

WIS-5-Desoxohypericin

OH

OH

25 Desoxohypericin hexa-acetate

Desoxohypericin

This is a new compound not previously described in the literature which was prepared by hydrolysis of desoxoyhypericin-hexaacetate (WIS-4).

20 mg of desoxoyhypericin-hexaacetate (synthesized as described above) was dissolved in 8 ml ethanol containing 20 mg sodium hydroxide. The solution was incubated at room temperature for 24 hours. After this period, all of the acetate groups were hydrolyzed yielding the sodium salt of

desoxyhypericin. The material was not isolated from solutions since it decomposes readily in neutral or acetic pH.

UV (ethanol, pH 10) max >800, 755, 438 nm.

5 WIS-6-Hypericin Diacetate

Hypericin

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Hypericin diacetate

This compound has been described in Brockmann, H. et al., Chem. Ber. 84: 865-867, 1951.

200 mg hypericin was dissolved in 50 ml acetic anhydrive and incubated at room temperature for 48 hours. It was
then poured over ice and extracted with 100 ml ethyl acetate.
The organic extract was washed with 50 ml of a dilute
hydrochloric acid solution (1%) and 500 ml sodium bicarbonate
(3%). The residue, after evaporation of the organic solvent,
was chromatographed over silica gel. The fraction eluted with
ethyl acetate and comprised orange crystals of hypericin
diacetate with a melting point higher than 360°C.

max (MeOH) 586 (35,000), 573 (25,000), 544 (20,000), 458 (28,000), 434 (18000) nm.

1H NMR (in CDCl<sub>3</sub>) 2.39, 2.82, 7.28 ppm.

35 EXAMPLE 8: BIOLOGICAL ACTIVITY

The AAB compounds whose synthesis is described above

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were assayed for antiviral activity. WIS-2, -3, -4, -5 and -6 were tested to determine their biological effects on Friend Virus-induced splenomegaly using the procedure and technique set forth in Example 1 above. The assay results (three mice per group were tested each at different concentrationss of the active composition except for the PBS-negative control wherein two animals per group were used) are reported in Table VII below.

		TABLE VII		
10	TREATMENT	Actual Spleen Weight	Average Spleen Weight	Average % Inhibition
15	PBS (negative control)	0.1947 0.1460	0.1754	
	FV (positive control)	0.9484 0.9826 0.9673	0.9661	
20	WIS-2 (150 micrograms)	0.4075 0.4656 0.4517	0.4416	66.3
25	WIS-2 (50 micrograms)	0.4818 0.4925 0.4066	0.4603	64.0
30	WIS-2 (10 micrograms)	0.4263 0.4868 0.4972	0.4701	62.7
	WIS-2 (1 microgram)	0.6615 0.7519 0.6912	0.7015	53.9
35	WIS-3 (150 micrograms)	0.2967 0.3518 0.3384	0.3290	79.7
40	WIS-3 (50 micrograms)	0.6998 0.6723 0.7041	0.6921	34.7
45	WIS-3 (10 micrograms)	0.7727 0.8108 0.7413	0.7759	24.1

	TREAT	ÆNT	Actual Spleen Weight	Average Spleen Weight	Average % Inhibition
5	WIS-3	(1 microgram)	0.7527 0.8048 0.7277	0.7737	24.3
10	WIS-4	(150 micrograms)	0.6214 0.6663 0.5159	0.6012	46.1
15	WIS-4	(50 micrograms)	0.7368 0.7744 0.7041	0.7384	28.8
15	WIS-4	(10 micrograms)	0.8118 0.8625 0.7019	0.7921	22.0
20	WIS-4	(1 microgram)	0.7790 0.8852 0.8797	0.8480	14.9
25	WIS-5	(150 micrograms)	0.6919 0.6790 0.6927	0.6879	35.2
30	WIS-5	(50 micrograms)	0.7817 0.8389 0.8196	0.8134	19.3
35	WIS-5	(10 micrograms)	0.9126 0.8898 0.9417	0.9147	6.5
•	WIS-5	(1 microgram)	0.9062 0.9528 0.9001	0.9197	5.9
40	WIS-6	(150 micrograms)	0.7921 0.8013 0.8626	0.8187	18.6
45	WIS-6	(50 micrograms)	0.9012 0.9969 0.9241	0.9407	3.2
50	WIS-6	(10 micrograms)	0.8387 0.8529 0.9874	0.8930	9.2
55	WIS-6	(1 microgram)	0.9291 0.8017 0.7323	0.8210	18.4

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Referring to Table VII above, it can be seen that all of the analogs demonstrated antiviral activity. WIS-2, 3, and 4 were the most active compounds of the group in the splenomegaly assay.

EXAMPLE 9: RADIATION LEUKEMIA VIRUS REVERSE TRANSCRIPTASE ASSAY

The same group of analog compounds used in Example 8

were tested to determine their ability to directly inhibit the reverse transcriptase of Radiation Leukemia Virus. The assays

were conducted using the same procedure as in Example 3 above, using supernatants from infected AQR cells. The results of the assay are reported below in Table VIII.

		TABLE VIII		
15	Treatment	СРМ	Average	Average % Inhibition
	None (negative control)	829,640 803,496	816,568	
20	WIS-2 (10 micrograms)	4,158 4,154	4,156	99.5
25	WIS-2 (5 micrograms)	4,278 3,566	3,922	99.5
	WIS-2 (2 micrograms)	4,100 4,586	4,343	99.5
30	WIS-2 (1 microgram)	11,576 9,430	10,503	98.7
	WIS-2 (0.5 micrograms)	16,602 14,010	15,306	98.1
35	WIS-2 (0.1 micrograms)	212,984 263,418	238,201	70.8
40	WIS-2 (0.05 micrograms)	455,360 498,048	476,704	41.6

	Treatment	CPM	Average	Average % Inhibition
5	WIS-3 (10 micrograms)	57,512 61,736	119,248	85.4
	WIS-3 (5 micrograms)	75,776 78,038	76,907	90.6
10	WIS-3 (2 micrograms)	14,020 16,914	15,467	98.1
15	WIS-3 (1 microgram)	21,896 27,316	24,606	97.0
15	WIS-3 (0.5 micrograms)	2,630 2,968	2,799	99.7
20	WIS-3 (0.1 micrograms)	19,322 22,464	20,893	97.4
	WIS-3 (0.05 micrograms)	89,170 67,678	78,424	90.4
25	WIS-4 (10 micrograms)	186,168 183,536	184,852	77.4
20	WIS-4 (5 micrograms)	164,780 164,100	164,440	79.9
30	WIS-4 (2 micrograms)	236,374 238,356	237,365	70.9
35	WIS-4 (1 microgram)	179,312 181,048	180,180	77.9
	WIS-4 (0.5 micrograms)	196,740 219,498	208,119	74.5
40	WIS-4 (0.1 micrograms)	100,504 188,520	144,512	82.3
	WIS-4 (0.05 micrograms)	156,830 171,594	164,212	79.9

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	Treatment	СРМ	Average	Average % Inhibition
5	WIS-5 (10 micrograms)	168,008 186,720	177,364	78.3
	WIS-5 (5 micrograms)	220,588 253,168	236,878	71.0
10	WIS-5 (2 micrograms)	216,764 194,174	205,469	74.8
	WIS-5 (1 microgram)	238,782 263,554	251,168	69.2
15	WIS-5 (0.5 micrograms)	240,372 258,330	249,351	69.5
20	WIS-5 (0.1 micrograms)	172,984 170,286	171,635	79.0
	WIS-5 (0.05 micrograms)	183,654 202,380	193,017	76.4
25	WIS-6 (10 micrograms)	178,026 115,150	146,588	82.0
	WIS-6 (5 micrograms)	86,850 93,696	90,273	88.9
30	WIS-6 (2 micrograms)	96,562 91,836	94,199	88.5
35	WIS-6 (1 microgram)	124,996 181,730	153,363	81.2
	WIS-6 (0.5 micrograms)	116,590 206,550	161,570	80.2
40	WIS-6 (0.1 micrograms)	188,378 590,398	389,388	52.3
	WIS-6 (0.05 micrograms)	195,374 185,638	190,506	76.7
45	The seasy recults in	mahle VIII	ahove show	ed that all

The assay results in Table VIII above showed that all of the compounds tested were found to inhibit the reverse transcriptase activity of Radiation Leukemia Virus. Compounds WIS-2 and WIS-3 had the highest level of antiviral activity of the compounds that were tested in this assay.

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#### EXAMPLE 10:

In order to investigate the structural features of hypericin which are essential for antiretroviral activity, numerous analogs and precursors of hypericin were examined for activity in two in vitro and one in vivo biological assay.

The assays employed were:

- (1) Direct inactivation of retroviruses in vitro, performed as in Example 3 above;
- (2) In vitro inhibition of a virus budding, performed as described in Meruelo, D. et al. (Proc. Natl. Acad. Sci. USA 85: 5230-5234, 1988 and Lavie G. et al. (Proc. Natl. Acad. Sci. USA 86: 5963-5967, 1989). Briefly, tissue culture adapted, virus-producing cells were incubated with various amounts of compounds for 30 minutes at 37°C. After 30 minutes the cells were washed three times with Dulbecco's Modified Eagle Medium (DMEM) supplemented with fetal calf serum, growth factors and antibiotics and cultured for 24 to 48 hours. The cells were then harvested and the culture supernatants were assayed for reverse transcriptase activity as described above in Example 3;
- (3) In vivo inhibition of Friend Leukemia Virus Splenomegaly performed as described in Example 1 above except that the compounds were administered intravenously 1-2 hours post infection.

The synthesis and/or isolation of these compounds is described above.

The results of these assays are presented in Table IX below.

5	COMPOUND	EC <sub>50</sub> (uM) FOR DIRECT INACTIVATION OF VIRIONS	EC <sub>50</sub> (um) FOR PRODUCTION OF DEFECTIVE BUDDED VIRIONS	EC <sub>50</sub> (uM) FOR FV-INDUCED SPLENOMEGALY
	HYPERICIN	0.06	0.2	0.12
	PROTOHYPERICIA	7.90		98
	PSEUDOHYPERIC	CN		3.8
10	HYPERICIN-DICA	ARBOXYLIC		
	-ACID (WIS-1)	•	>100	:
	HYPERICIN			
	-DIACETATE	(WIS-6) 0.85		>255
	HYPERICIN			
15	-HEXAACETATE	12.90		>199
	DESMETHYL			
	-HYPERICIN (V	VIS-3) 0.07	2	174
	DESOXOHYPERIC	IN (WIS-5) >21		>316
	DESOXOHYPERIC	EN		
20	-HEXAACETATE	(WIS-4) 6.90		>242
	EMODIN	>37		
	HYDROXYMETHYL-	-		
	ANTHRAQUINON	>41	==	145
	ANTHRONE	>51		267
25	BIANTHRONE	>26	>100	98

The results presented above in Table IV are presented as  $EC_{50}$  concentrations. These are the effective concentrations which inhibit 50% of the viruses in micromolar concentrations.

As can be seen from the results presented in Table IX

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above, different analogs varied in their levels of effectiveness. Only hypericin, pseudohypericin and desmethyl hypericin showed a high degree of activity in a more than one assay. Removal of the carbonyl groups from hypericin (e.g., desoxohypericin) resulted in a significant loss of in vivo 5 reverse transcriptase inhibitory activity. This loss of activity was also evident when the activities of the hexaacetate derivative of hypericin are compared with those of the desoxo-hexaacetate derivative. These observations suggest that the quinone structure was important for the antiviral 10 activity of aromatic polycyclic diones, preferably when structured on a naphthodianthrone backbone. In addition, replacement of the methyl side chains by a more polar group such as a carboxylic, acetoxy, or hydroxy side group diminished the antiviral activity as seen above in hypericin dicarboxylic 15 acid and the di-and hexaacetate derivatives of hypericin

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### WHAT IS CLAIMED IS:

1. A method for treating a mammal suffering from a viral infection comprising administering to a mammal in need of such treatment an effective amount of a compound selected from the group consisting of a compound of the Formula I

$$\begin{bmatrix}
A & B & C \\
7 & (I) \\
8 & G & F
\end{bmatrix}$$

wherein: n is an integer selected from 1 and 2; 10 each of A, C, D, E, F, H, I, J is independently selected 11 from the group consisting of hydrogen, hydroxy, lower ( $C_1$  -12 C4) alkyl, aryl, arylalkyl, arylamino, lower alkenyl, 13 alkoxy, hydroxyalkyl, halogen, carboxy, acyl (aromatic or 14 aliphatic), amino, acyloxy, alkoxycarbohyl, aryloxycarbonyl 15 (each of which may be substituted or unsubstituted), and a 16 dimer-forming bond; 17 each of B and G are independently selected from the group 18 consisting of (a) oxygen forming a keto group with the ring 19 carbon to which the oxygen is appended; (b) two hydrogen 20 atoms; (c) one hydrogen atom and one peroxy group; (d) aryl; 21 (e) alkenylcarbonylalkyl; (f) alkenyloxycarbonylalkyl; (g) 22 cyanoalkenyl; (h) arylalkenyl; (i) lower alkyl; (j) alkenyl; 23 (k) acyl; each of which may be substituted or unsubstituted; 24 and (1) a double or single dimer-forming bond; 25 wherein one or more of A and B, B and C, A and J, C and D, D 26 and E, E and F, F and G, G and H, H and I, and I and J can 27 be combined to form aromatic, alicyclic or heterocyclic 28 rings having 5-7 carbon atoms, said rings optionally being 29 30 further substituted; 31

wherein the three rings in said formula are aromatic except that the particular bonds formed by one or more of the ring carbon atoms adjacent to A, B, C, H, G or F can be saturated;

- provided that, when n=2, at least one of H, G and F or at least
- one of A, B and C is a bond and either or both of (i) D and E
- and (ii) J and I optionally form aromatic or alicyclic or
- 38 heterocyclic rings having 5-7 atoms with the adjacent carbon
- 39 atoms.
- 2. The method of claim 1 comprising administering
   said compound parenterally.
- 3. The method of claim 1 comprising administering
   said compound orally.
- 1 4. The method of claim 1 wherein said virus is human 2 immunodeficiency virus.
- 5. The method of claim 1 further comprising administering an effective amount of a nucleoside analog with said compound.
- 1 6. The method of claim 6 wherein said nucleoside analog is azidothymidine.
- 7. A pharmaceutical formulation for treating mammals suffering from viral infections comprising an effective amount of a compound selected from the group consisting of a compound of the Formula I

$$\begin{bmatrix}
A & B & C \\
7 & (I) & & \\
8 & & \\
9 & & & \\
\end{bmatrix}$$

- wherein: n is an integer selected from 1 and 2;
- each of A, C, D, E, F, H, I, J is independently selected
- from the group consisting of hydrogen, hydroxy, lower (C<sub>1</sub> -
- 13 C<sub>4</sub>) alkyl, aryl, arylalkyl, arylamino, lower alkenyl,

- alkoxy, hydroxyalkyl, halogen, carboxy, acyl (aromatic or 14 15 aliphatic), amino, acyloxy, alkoxycarbohyl, aryloxycarbonyl (each of which may be substituted or unsubstituted), and a 16 17 dimer-forming bond; each of B and G are independently selected from the group 18 consisting of (a) oxygen forming a keto group with the ring 19 20 carbon to which the oxygen is appended; (b) two hydrogen atoms; (c) one hydrogen atom and one peroxy group; (d) aryl; 21 22 (e) alkenylcarbonylalkyl; (f) alkenyloxycarbonylalkyl; (g) cyanoalkenyl; (h) arylalkenyl; (i) lower alkyl; (j) alkenyl; 23 (k) acyl; each of which may be substituted or unsubstituted; 24 25 and (1) a double or single dimer-forming bond; wherein one or more of A and B, B and C, A and J, C and D, D 26 and E, E and F, F and G, G and H, H and I, and I and J can 27 28 be combined to form aromatic, alicyclic or heterocyclic rings having 5-7 carbon atoms, said rings optionally being 29 30 further substituted: wherein the three rings in said formula are aromatic except 31 that the particular bonds formed by one or more of the ring 32 carbon atoms adjacent to A, B, C, H, G or F can be 33 34 saturated: provided that, when n=2, at least one of H, G and F or at least 35 one of A, B and C is a bond and either or both of (i) D and E 36 and (ii) J and I optionally form aromatic or alicyclic or 37 heterocyclic rings having 5-7 atoms with the adjacent carbon 38 39 atoms; 40 and analogs, isomers, homologs, derivatives and salts of said compound and mixtures thereof and a pharmaceutically acceptable 41 42 carrier or diluent.
- 1 8. The pharmaceutical formulation of claim 7 comprising a parenteral dosage form.
- 9. The pharmaceutical formulation of claim 7 comprising an oral dosage form.

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- 1 10. The formulation of claim 7 further comprising an effective amount of a nucleoside analog.
- 1 11. The formulation of claim 10 wherein said nucleoside analog is azidothymidine.
- 1 12. A composition of matter comprising 2 desoxohypericin.

# INTERNATIONAL SEARCH REPORT

International Application No. 1. CLASSIFICATION OF SUBJECT MATTER (if several classification symbols apply, indicate all) 6 PCT/US90/01463 According to International Patent Classification (IPC) or to both National Classification and IPC IPC (5) A61K 31/00 U.S. Cl 514/45,46,49,50,176 II. FIELDS SEARCHED Minimum Documentation Searched 7 Classification System Classification Symbols U.S. 514/45,46,49,50,176 Documentation Searched other than Minimum Documentation to the Extent that such Documents are included in the Fields Searched III. DOCUMENTS CONSIDERED TO BE RELEVANT Citation of Document, 11 with indication, where appropriate, of the relevant passages 12 Category • Relevant to Claim No. 13 A US,A 4,540,700 (YORK, JR.) 10 September 1985 1-4,7-9,10-12 See entire document. Y US,A 4,724,232 (RIDEOUT ET AL) 09 February 1988 5,6,10,11 See Column 4, Lines 7-19. Y,P US,A 4,861,759 (MITSUYA ET AL) 29 August 1989 5,6,10,11 See Column 4, Lines 20-36. Y EP,A 0,256,452 (LAVIE ET AL) 24 February 1988 5,6,10,11 See entire document. \* Special categories of cited documents: 19 "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "A" document defining the general state of the art which is not considered to be of particular relevance earlier document but published on or after the international filing date document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art. document referring to an oral disclosure, use, exhibition or other means document published prior to the international filing date but later than the priority date claimed "&" document member of the same patent family IV. CERTIFICATION Date of the Actual Completion of the International Search Date of Mailing of this International Search Report 25 JUL 1990 <u>31 May</u> 1990 International Searching Authority SI MOUSEN MOONED ABICET North Nguyen INTERNATIONAL DIVISION
James O. Wilson ISA/US Form PCT/ISA/210 (second sheet) (Rev.11-87)